

# Problems

1. to what extent does grad  $f$ , <sup>flow</sup> near a critical pt. depend on the metric
2. topological Rokhlin's thm.
3. "geometric" proof of wk. mixing  $\Rightarrow$  mixing for full set of  $t$
4. class. of smg. by local properties of grad. flow
5. Homogeneous spaces

- a. implications between
  1. unique ergodicity
  2. minimality
  3.  $h_u = 0$  and ergodic
- b. <sup>simple or</sup> semi-simple case
  1. which one-param. subgps are  $G^u$ 's for ergodic affine
  2. try 2.

- try to relate dyn. properties to repr.
- d.  $K \Rightarrow$  Bernoulli
  - e. wk. mixing + center s.s.  $\Rightarrow$  Bern.
  - f. ergodic  $\Rightarrow$  unique meas. max.  $h(u)$

6. zeta fun. for Ax. A
- a. top. identification + } Try. (dual  
     conj. inv. of  $\mathbb{Z}(0)$  } 2 first
  - b.  $Z(s)$  meromorphic for  $C^\infty$  flow
  - c. connection with Laplacian vs.  
     geod. results; automorphic forms
  - d. Anosov actions

7. Structure of basic sets

- a. classification via  $(R, A)$
- b. local Ax. A  $\Rightarrow$  embeddable
- c. can. coord.  $\Rightarrow$  "  
     (opt. Abel. groups  $\Omega$ 's)
- d. phantom homology gps.  
     - shift equiv. of induced maps!
- e.  $\dim \Omega$ ; within a mfd.

8. Non Ax. A examples - Newhouse,  
 Abraham-Smale, Simon, Kerckhoff,  
 billiards

- a. axiomatic description
- b. stat. properties
- c.  $\forall \epsilon > 0 \exists$  horseshoe inside  
     with  $h(f|_X_\epsilon) \geq h(f) - \epsilon$
- d. stat. properties of Leony in  
     particular

e. any specification type property

9. unique ergodicity of  $W^n$  for partially Anosov systems/diffeos
10. Stat. + dynamics of transformations of  $[0, 1]$  - "nontrivial" - exp. like examples
11. Nonalgebraic Anosov diffeos, class. 3-dim Anosov flows, var. neg. cur. surface conj. to const. cur.?
12.  $h(f) \geq \log |A|$ 
  - a. diffeos.
  - b.  $\mathbb{Z}$  finite + hyp.
  - c.  $Ax$ .  $A$  with cycles
13. In Parry's "conj. to linear" paper, what are properties of constructed measure? Does this work for equil. states too?
14. Suspensions of diffeos. - generally not a const. time sys? - strongest statement is what. For  $Ax$ .  $A$  attractors?

15. Renewal thm. for dependent  
r.v.'s

- a. derive via motivation of  
 $Ax, A$  flows mixingness
- b. how fast is the mixing  
for  $Ax, A$  flows

16. Brownian motion or diffusion  
given a flow

17. Symbolic dynamics for billiards

18. Interpret  $\log \lambda(x)$  as a  
potential fun? - Kohn. idea  
on surfaces neg. curv.

19. Can you construct some Banach  
space so that  $h_u$  is an eigenvalue  
of some can. operator

20. ds. systems in stat mech.  
- top dyn. formulation?

21.  $\phi_t$  C-dense. ~~Unique  $\mu$  which~~  
~~is  $\phi_t$  inv. max entropy?~~  
 $\forall \phi_1$  - inv  $\Rightarrow \phi_t$  - inv  $\neq$  fact.  $\mu$  on closed  $\text{orb}$

22. canonical embedding of  
 $Ax, A \in \mathbb{R}^2$

23. canonical  $C^0$  perturb. of  
Anosov differ to  $C^0$ -sim  $\mathbb{R}^2$ 's  
with same entropy

24. bifurcation of  $Ax, A$  in  
terms of symbols

(25) coding s.s. finite type  
with same entropy

26. entropy in Hamiltonian  
case. For P.D.E.'s? Relation  
to ODE's?

27. Construct 2 dim. Hamilt.  
differ. with ergodic set  
of  $h$  pos. meas.

28. Kupka-Smale +  $h(f) > 0$   
 $\Rightarrow$  homocline pt.

29. Find  $Ax, A$  inf. attractor  
in some ODE on  $\mathbb{R}^3$  (quadratic)

30. Fixing  $\text{cpt}$  of  $M$ . What is possible  
behaviors of good. flow for  
all Riem. metric.  
e.g. ~~for some of~~  $\pi_1(M) = 0$   
~~has~~ some good. flow have  
entropy 0

31. Anosov diffeo.  
a. hyp. on  $\mathbb{T}^n(M)$   
b. fixed pts.  
c.  $\Omega = M$

~~32. Is even~~

32. Classify s.s. with specification

33. Refine  $P(g)$ , equil. state  
for certain words  $g$ .

34.  $\mu$  equil. state some cls  $g$   
on  $\sum_N \Rightarrow h_\mu > 0$ ?

35. ergodic non hyp. autom  
of  $\mathbb{T}^n$  not part of s.s.?  
finite type? not specification

36. Geod. flow expansive  
 $\Rightarrow$  Anosov flow

37. Geod. flow h-expansive?

38. ~~Let~~  $M = \text{Riem. metric } g$   
with  $g \text{ vol. } M = 1$ .  
What is  $\{h(\text{geod. line } \gamma) : \gamma \in M\}$ ?  
Relation to top. inv. of  $M$

39. Ambrose-Kakutani thm. for  
 $R^n$  ~~flows~~ actions

40. Entropy of autom of  $C^*$  alg.

41. Is  $\Phi_1$  a ctly.  $\Phi$  for  
 $h: \text{Diff} \rightarrow R$  for  $\Phi_t$  Anosov flow?

42. Is  $h(\Phi_t | E)$  diff. in  $E$ ?  
for Hamiltonian case.  
Any Relation to class. or quant. <sup>stat</sup> mech.?

43. Defn. Gibbs near. for  
homeo. - relate to eq.  
state?

44. How big is  $\{u \in \mathbb{R}^d\}$  ~~regul.~~  
state some  $\{e \in C(\Lambda)\}$  is  $M_f(X)$

45. Any "local" invariants  
(near fixed pt.) which  
are entropy like

46. Eg. states for 1-dim  
quan. lattice systems w/o  
finite range

47. Any entropy-like  
inv. for singularity  
of diff. map?

48. Suppose  $F: \text{Cantor set } C \rightarrow \mathbb{R}$   
bdd. total var. Is there a  
homeo.  $g: [0,1] \rightarrow C$  and

(Lip.)  
diff  $f: [0,1] \rightarrow \mathbb{R}$  s.t.  
 $F = f \circ g \circ C$ .

49. Does min. or u.e. for diffeo  
 $\Rightarrow h(f) = 0$  (try hom, cat too)

a. Is there a minimal diffeo  
hom. to  $\begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix} \times \text{id. on } T^3$

b (Seifert) min. flow on  $S^3$

50. ~~Is~~ <sup>Is</sup> there a transitive <sup>of Katok</sup>  
ergodic diffeo of  $S^2, D^2$

51. Look for inv. meas. of  
some standard foliations

52. Define  $\Omega(\text{foliation})$ . Does  
 $h(\text{fol.}) > 0$  make sense?

53. Is the space of an expansive  
homeo. always finite dim?  
Is every minimal expansive  
homeo. on a 0-dim. space?

54.  $C$ -dense An. A flows
- speed of mixing
  - asympt. expressions for # per. orbits
  - is  $\phi_A$  intrinsically ergodic
  - direct pf. of mixing of meas.
  - analogue of " $h(f) \geq \log |X|$ "
  - understand  $\det(t-A)$  in var. -rel. to  $S(t)$
  - stability of  $C$ -density for attractors
  - cond. on  $g$  so that  $\Sigma_A(g)$  is anal. embeddable as basic set
  - can a closed orbit of Anosov flow be null-homotopic

55. Entropy of autom. in algebra  
-  $g_{\mathbb{Z}}$ ,  $g_{\mathbb{Z}^2}$

56. horocycle flow  $\Leftrightarrow$  expansive flow

57.  $l(f^n)$  grows slowly with  $n$  for many  $\delta$  and An. A diffeot

58. Is Gutzwiller's example  
an Anosov flow?

59. Computer program for Anosov  
attractors

60. Study flows  $V(r) + \frac{1}{2}mv^2 = H$   
for various  $V(r)$ . Stat.  
mech. literature Henon-Hedley  
Toda...

61. Rokhlin thm. for ctbl.  
pseudo-gp. action  
- ergodic thm. & avg.  
procedures

62. covering space for  $\Sigma_A \rightarrow T^2$   
cover. to  $R^2 \rightarrow T^2$

63.  $C^r$  diffeos. which are not  $C^r$  qualitatively.  
 Find.  $C^1$  diffeo  $f: V \rightarrow V$  and  $C^2$  embedding  $g: V \rightarrow M$  and  $\tilde{f}$  extending  $f$  to  $M$ , s.t.  $\tilde{f}$   $C^2$  on  $M$ ,  $\tilde{f}|_V$  qual not  $C^2$ . (qual behavior of  $\tilde{f}$  due to  $C^1 V$  but not exp.  $\tilde{f}$ )

64. Entropy of gp. action.  
 There is no smooth  $R^n/Z^n$  action with pos. entropy when  $n > 1$ . Is this true for all lie gp. (or  $\mathbb{R}$ ) of  $\dim > 1$ ? Nilpotent.

65. Foliation ergodic theory

- Ambrose-Kakutani - esp.  $R^n$
- Does mixing make any sense - use category, diff.  $C^\infty$ , analytic str.
- average procedure difficulties - ergodic thm, existence inv. meas., ergodic decomp, u.e. + unif. conv. - poly. growth unif. thm. an inv.
- look at some specific foliations
- Plante stuff on connections with homology
- positive entropy make sense

66. Central limit thm. <sup>other</sup> ~~state~~  
~~that~~ strong statistics near an  
attractor of diffeo.

67. Correspondence principle  
of quantum mechanics.

Investigate for some  
simple mechanical systems.

Is it experimentally related to <sup>uncertainty</sup> ~~princ.~~ ~~princ.~~ ~~princ.~~?

68. Electric circuits.  
a. Analogue computer for  
finding  $Ax$ . At examples  
b. Is noise sometimes  
due to hyp. set in the  
dynamics?

69. Is  $h: \text{Diff}^r(M) \rightarrow \mathbb{R}$   
generically cts. for  
some  $r$ ?

70. Classify all Anosov  
systems or attractors  
(which  $\Omega^2$  can occur  
as attractors).

71. Cancellation of  $\Omega_i$ 's.  
Simplest  $f$  in an isotopy  
class

~~72. Does  $h(f) = 0$  for a  
cts. (or diff.) flow on  
opt. 2-manifold.~~

73. If  $f$  Anosov and  $g \sim f$ ,  
does  $h(g) \geq h(f)$ ?

74. If  $f \in Ax, A$  is there  
an  $Ax, A$   $g$   $C^0$  near  $f$  with  
 $\dim \Omega(g) = d + h(g) = h(f)$ .

75. Conditions on  $M$  to  
admit Anosov  $f$ .

76. Does  $h(f)$  have minimum  
in isotopy class?

77. Conjugacy between  
top. + measure th.

a. what ~~is~~ s.d.  $h(f)$   
an invariant

b. entropy - conj. +  
equiv on same sets  
— what are the equiv-  
relations on homeo  
or maps of  $S^1$ , subshifts

78. Calculate  $h$  for CDF  
on  $\mathbb{R}^n$ , e.g. linear diffeom.

79. infinite measure space  
autom.

80. Really examples of expansive  
diffeom. related to Anosov-  
diffeo. Are expansive  
diffeo. likely to be Anosov?

81. geometric th. of u.e. for  
in lat. rot.  $S^1$ .

82. (Plante) codim 1 minimal  
fol. has at most one  
inv. measure.

83. Unstable foliations  
of Anosov diffeo given by  
nilpotent group's action

84. Invariant or approx. in-  
finite dim. subspaces for  
Navier-Stokes eqn.

85. Codon frequencies via  
equiv. state semi-potential"

86. alg. varieties - Weil conj.  
cohomology - any entropy  
here? any rel. to hom.  
equivalences?

87. If translation by gp. elt.  
on  $G/P$  is minimal, is that elt.  
nilpotent in  $g$ ? (i.e. have 0 entropy)

88. Index 0 fixed pt. of diffeo  
removable by small  
perturbation (Morse).

89. For An-flow  $f_t$  on  $M$ . Try  
to get approx. to curves  $\Omega M$   
by pseudo-orbits  $f_t$ , calculate  
 $\int \Omega M$  ... as in Morse theory

90. If  $f$  An. on  $M$  and  
 $M$  contractible, what does  
 $H^k(M) \cong H^k(\pi_1(M))$  tell  
you via  $f_*$  eigenvalue info?  
(see Hu ~~p. 200~~ p. 200-202)

91. Among  $C^1$  "expanding  
maps" on  $[0,1]$  is  $h$   
cts? (a.e.)

92. Among deg  $n$  poly. maps  
of  $[0,1] \rightarrow [0,1]$ , and Ax. A ones  
open+dense? bad ones  
stratified set ...

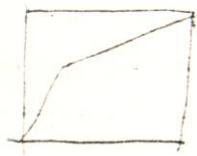
(write Keane)

~~but~~ bouncing disks  
91. 3 particles on  $S^1$  - how do  
you do it.

2 particles on  $T^2$  different  
mass

92.  $a_i$  an integer  $\geq 0$ ,  $a_1 \leq a_2 \leq \dots$   
 $a_i \geq a_{i+k}$  when  $i, j \in \mathbb{N}$   
Can these be the seq. of symbol  
seq lengths 1 to  $n$ ?

93 (Heron)



$f: [0,1] \rightarrow [0,1]$

$$f(x) = f(x) + b$$

Is  $f_b$  cyclic w.r.t. left  
meas. (not inv), w.e.

$$f_b(A) = A \Rightarrow m(A) = 0 \text{ or } 1$$

94. Does every manifold  $M^n$  ( $n \geq 3$ ) admit smooth Borelli flow?

95. (Ruelle) Markov part. for alg. geom. examples

96. (Ruelle) AM flow using me. flows on  $M$  without assuming  $M = T^n$ .

97.  $C^1$  noncyclic ~~system~~ diffeos of  $T^2$  preserving orb. meas.

98. Uie. on biexp. one with finite area instead of compact hom. spaces

99. (Dang) find ~~for~~ open partition in  $\mathbb{R}^2$  w. Bern. Find inv. of finitistic codes

Symbolic dynamics on Markov chains, membership locality

101. If a  $C^1$  Anosov preserves smooth inv.  $\mu$ , is  $\mu$  an equal. state for  $\log |Df^n(x)|$ ?

102. Is the false zero function of a basic set rational? Define false zero function of a flow basic set?

$$\tilde{z}(s) = \prod_{\lambda \in \Gamma} (1 - \lambda e^{-s \ell(\lambda)})$$

$\pm 1$  according to whether  $Df^n | E_x^n$  plus or minus orientation

$\tilde{z}(s)$  related to  $z(s)$  related to mod 2 part of torsion

103. How can you write  
 $1+t^2+t^2 = \prod_{i=0}^{\infty} (1+t^{4i})$   
 in  $\mathbb{Z}[[t]]$ .

104. Note:

$$\frac{1}{1-t} = \prod_{i=0}^{\infty} (1+t^{2^i}) \text{ in } \mathbb{Z}[[t]]$$

Is there a map  $D^2 \rightarrow D^2$  s.t.  
 no sinks or sources +  $\mathbb{Z}/2\mathbb{Z}$   
 happens by this formula?  
 Cellularization of  $\frac{1}{1-t}$ ?

105. Sullivan's stacks of coins  
 problem

106. Is the multiplicity of 1  
 as an eigenvalue of  $A$  a  
 flow conjugacy inv. of  $\Sigma_A$ ?  
 How about  $\prod_{i=1}^n (1-\lambda_i)$  no

107. Embed auto. cpt gps as  
 basic sets

108. Let  $gp. G$  be given by  
 generators  $S$  with relations

Consider

$$V = \{x : x \in \prod_0^{\infty} (S \cup S^{-1}), x$$

reduced or  $\prod_{i=0}^{\infty} x_i$

What is  $V$ ? intrinsic ergodic  
 Entropy = ?

109. (Thom) Look at Markov part. on  
 $T^n$  when all  $\lambda_i$  distinct + real

110. Top. entropy of Frobenius  
 map of algebraic variety  $V$   
 - related to  $\dim V - \deg V$   
 (Zeta fns. rad. of conv.)  
 $\Rightarrow$  Relation to zeta fns.  
 + Weil conjectures



$\wedge$ , 122. ~~homocycle flow L.B?~~  
 (Katok-O system)  $S, T, L.B$   
 $+ 0$ -entropy  $\Rightarrow S$  induced.  
~~In Bulltop - than does~~

122. Fibration thin. for  $h(x)/\lambda$ ?  
 $M = \bigcup_x N_x$ ,  $N_x$  manifolds  
 $f(N_x) = N_x$ . Then  
 $s(f_x \text{ on } M) \leq \sup_x (s_x \text{ on } N_x)$ ?

If  $f|N_x$  isometry, does  
 $s(f_x \text{ on } M) = 1$ ? Are all  
 distal diffeos built up this  
 way - i.e. extensions where  
 homology works.

123. cancellation thm. for  
 $2$  basic sets - analogue  
 of cobordism theory?

124. well con. for basic sets

125. Embedding alg. variety  
 over  $F_p$  in a basic set.

126. Put orientation into  $S$ -fun.  
 of flow? what should  
 $\psi(0)$  be?  $SIC$  depends  
 only on  $H_x$  of  $(M, M_{-1})$ .

~~127. homocycle flow L.B?~~

128.  $R_2, R_3$  suspensions under  
 bounded fcn. isomorphisms?  
 good flow case

129.  $h(f)$  as rate of growth of  
 eigenfn. for operator in  
 momentum space - Fourier  
 transform of Laplacian on manifold?

130. Which surfaces +  
which homotopy classes  
of homeo admit

- ① expansive homeo
- ② distal homeo

131.  $h(f)$  given by per.  
pts. for generic  
 $C^1$  map  $I \rightarrow I$   
(acts map)

132.  $\dot{X} = Q(X)$  on  $\mathbb{R}^3$

A quadratic. Is there  
a ~~crit~~ condition on  
coefficients which  
guarantees a homoclinic  
pt. (complicated)

attractor). Eg. like bi  
Rayolds no

133.  $C^\infty$  diffeo. of 2-disk  
preserving smoothness  
with  $h_u > 0$  (ergodic  
one): calculate  
T-entropy of Hamiltonian  
systems in Arnold-Avez

134.  $\phi: S^1 \rightarrow S^1$  cpl. pos.

① entropy  $> 0$   
 $\Rightarrow \exists$  cpl.  $\mu$ -in

② ent = 0 + ergodic  $\Rightarrow$   
 $\# N$ .

135. Max. + geod. for  $\Gamma = SL(3, 2)$ .  
 - min. vol. closed  
 - ergodic dyn. (old fac?)

136. For most  $C^2$  maps  $f: [0, 1] \rightarrow [0, 1]$   
 $\forall \epsilon > 0$  hyp. sets  $A \subset [0, 1]$   
 s.t.  $h(f|_A) > h(f) - \epsilon$ .

137. Ergodic smooth maps of



Prod.  $A^2 B$  of Dehn twists?  
 $h(f) > 0 = h(f)$ ?

138. Billiards on   
~~What~~ example where ergodic

poly. growth  
 139. Hyperfinite fol. is  
 hyperfinite? Is adding  
 $w$  on  $\Sigma_{\mathbb{Z}_0, \mathbb{Z}}$  Borel  
 hyperfinite?

140.  $g: G/\Gamma \rightarrow G/\Gamma$  alg. - from  
 auto of  $G$ . Does  $\forall g \Rightarrow h(f) \geq h(g)$ ?  
 Entropy conj. on indmanifolds?

141.  $g: G/\Gamma \rightarrow G/\Gamma$  auto.  
 Is  $G/\Gamma(g \text{ on } \Gamma)$  an alg. no.?

142. Adding machines not an  
 inv. set for  $C^2$  maps of disks?  
 w-disk?

143. G.R(x) analg. integer  
for  $\alpha$  auto. of solvable  
gp.

(144.)  $f: [0,1] \rightarrow \mathbb{C}^2$ , cont.  
Yes. w. nondog. Then  $\forall \epsilon > 0$   
L.S.  $f$  inv. expanding set  
 $X_\epsilon$  s.t.  $h(f|X_\epsilon) > h(f) - \epsilon$ .

(145.) Does  $h(f) = \log \lambda$  for  
Thurston's quasi-Anosov  $f$ .  
Yes

- (146.) (a) s.s. finite type have good  
quotient w/ fixed pt.  
(b) Given per. pt.  $p \in \Sigma$ , is  
there a Markov part. with  
 $p$  in interior  
(c) If  $\delta \in \mathcal{C} \neq \emptyset$  does  $\delta \in \mathcal{C}$  contain  
a per. orbit  
(d) 2 s.s. f.t. with same  
entropy  $\Rightarrow$  common  
good quotient  
(e)  $\Sigma_A, \Sigma_B$  aperiodic,  $h(\Sigma_A) < h(\Sigma_B)$   
does  $\Sigma_A | \Sigma_A$  embed into  $\Sigma_B$ .

147. inv. distribution for good  
(Anil.) flow — approximated?  
(Kardar) by period orbit measures?

148. Kleinman g.p. limit set  
 $\lambda$  — specification when  
all are parabolic or elliptic  
elts.

149. (Handel)  $\exists$  cross-section  
unif. for all min. sets of flow  
 $\rightarrow \exists$  cross-section

150. Is there an expansive homeomorphism of  $S^2$ ?

151.  $\underline{x} \sim y$  on  $\Sigma_A^+$  if  $\tau^n x = \tau^m y$  some  $n, m > 0$ .  
Find inv. of  $(\Sigma_A^+, \sim)$ , top.

152.  $f: M \rightarrow M$   $\infty$  Anosov  
 $f \in C^r$  and

$$f(x) = u(x) - u(Tx).$$

Does  $u \in C^r$ ? ( $r \geq 2$ )

153.  $\phi_t: T_1 M \rightarrow T_1 M$   $\infty$  Anosov  
geod. flows and

$V: M \rightarrow \mathbb{R}$  s.t.  $SV(\pi \phi_t x) = 0$   
on every closed geod.  
Does  $V \equiv 0$ ?

154. Geod. flows on surfaces  
 $h_u > 0$  if  $g \geq 2$

155. (Thurston-Sullivan?) Are all smooth actions of  $\mathbb{Z}$  on  $S^1$  (which are top. conj. to a standard one) diff. conj. to a standard one?

156. Kleinian gp.  $\Gamma$ .  
h.d.  $\lambda(\Gamma) \leq 2$  if  $\lambda(\Gamma) \neq S^2$

157. on closure  $\bar{T}$  of Teichmüller space.  $\phi_t$  param.

$$\bar{T} \times \Sigma_A^+ \rightarrow S^2$$

s.t. image  $(t, \Sigma_A^+) = \lambda(\Gamma_t)$

h.d.  $(\Gamma_t)$   $\phi_t$  in  $t \in \bar{T}$ ?